DOB: YYYYY

XXXXX

DELIVERY SCHEDULE	DATE OF DELIVERY
ORIGINAL DELIVERY	<u>09/20/2019</u>

XXXXX - 3M Ear Plug Case Review

KEY ABSTRACT

Type of Personal Hearing Protection Used	BATES REF	PDF REF
Not available	XXX_0000105	105
*Reviewer's comment: Earplug use is evident from the available medical records.		
Case Report:		

Parameter	Findings	BATES REF	PDF REF
Patient Name	XXXX	XXX_0000667	667
	ΛΛΛΛ	AAA_000000/	007
(First, Last name)			
DOB	ҮҮҮҮ	XXX_0000667	667
Prior ear	Yes	XXX_0000266	266
infections/head			
trauma/Surgeries	He had history of multiple head traumas in 2011, 2012,		
Ū	2013 in Iraq IED blasts.		
Evidence for 3M	Yes (Earplugs use evident from available medical	XXX_0000037	37, 105
Earplug usage (Yes/No)	records)	,0000105	
	11/26/2013: Patient was given a fit tested set of earplugs		
	and case.		
	*Reviewer's comment: Per Hearing Conservation		
	Clinical visit dated 10/24/2006, it is evident that		
	Audiology recommended to give hearing test and fit		
	earplugs. Ear protector attenuation measurements x 1 was		
	also performed.		
Manufacturer and	Unknown	XXX 0000037	37
Product Details of	Onmown	7171 <u>00000</u>	51
Earplug used	*Paviawar's comment: Par Hearing Conservation		
Larping used	*Reviewer's comment: Per Hearing Conservation		
	Clinical visit dated 10/24/2006, it is evident that Ear		
	protector attenuation measurements x 1 was performed;		

Parameter	Findings	BATES REF	PDF REF
	however, the manufacturer and product details related to		
	earplugs are unknown.		
Dates of usage of	10/24/2006 - 11/26/2013	XXX_0000105	105, 37
Earplugs (Time period)		,0000037	
Injuries Associated with	07/31/2018: Recurrent tinnitus	XXX_0000314	314, 283
3M Combat Arms		, 0000283	
Earplugs (Along with	*Reviewer's comment: Per "Hearing Loss and Tinnitus		
date of diagnosis,	Disability Benefits Questionnaire", we note the patient		
Significance)	states that he reports recurrent tinnitus as a result of		
	military noise exposure; however, corresponding medical		
	records are not available to know the exact date of		
	diagnosis/start date of the condition.		
Did the patient develop	No	XXX_0000314	314, 283
permanent or		, 0000283	
temporary hearing loss	As on 07/31/2018 – Recurrent tinnitus		
after the use of product			
	*Reviewer's comment: From the "Hearing Loss and		
	Tinnitus Disability Benefits Questionnaire", it was evident		
	that the patient reported recurrent tinnitus only.		
Audiometry test	Yes	XXX_0000105	105, 128,
		,	144, 3, 11-
	*Reviewer's comment: From the available medical	XXX_0000128	12, 261-262,
	records, it is evident that the patient had audiometry test	, 0000144,	35-36, 37
	during the period of 10/24/2006 – 11/26/2013; however,	0000003,	
	original reports are not available to know the hearing	0000011-	
	functions of the patient.	0000012,	
		0000261-	
		0000262,	
		0000035-	
		0000036,	
		0000037	
Use of hearing aid?	No (Per available medical records)		
Freatment/Management	Not available		
of Injuries Associated			
with 3M Combat Arms			
Earplugs			
Current Condition	01/18/2019-02/04/2019 (Care giver program support	XXX_0000323	323-324,
	note): Tinnitus rate of 10%	-0000324,	322, 336-
		0000322,	341, 331
	*Reviewer's comment: The last available dated	XXX_0000336	
	02/12/2019 is related to Caregiver Program Clinical	-0000341,	
	Eligibility Assessment; no medical records further to	0000331	
	07/31/2018 are available for review.		

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	MEDICAL		
PARAMETERS	FINDINGS	BATES REF	PDF REF
a. References to hearing loss and/or tinnitus (complaints or statements	12/03/2014: No hearing loss, no hyperacusis, and no tinnitus	XXX_0000070- 0000073	70-73, 314, 283
of no tinnitus)	07/31/2018: Recurrent tinnitus	XXX_0000314, 0000283	
b. Documentation of ear plug used	Yes (Earplugs use evident from available medical records)	XXX_0000037, 0000105	37, 105
	11/26/2013: Patient was given a fit tested set of earplugs and case.		
	*Reviewer's comment: Per Hearing Conservation Clinical visit dated 10/24/2006, it is evident that Audiology recommended to give hearing test and)	
	fit earplugs. Ear protector attenuation measurements x 1 was also performed.		
c. Audiograms	10/24/2006: Audiometric group testing x 1, ear protector attenuation measurements x 1	XXX_0000105, 0000128,0000144, 0000003,0000011-	105, 128, 144, 3, 11-12, 261- 262, 35-36, 37
	08/19/2008: Threshold audiogram (Pure tone) \times 1 and Audiometric group testing x 1	0000012, 0000261- 0000262, 0000035- 0000036, 0000037	
	12/03/2010: Threshold Audiogram (Pure tone) \times 1, ear protector attenuation measurements x 1		
C	11/15/2011: Group audiometric test performed using Defense Occupational and Environmental Health Readiness System – Hearing Conservation		
	(DOEHRS-HC) recorded on DD 2216		
	12/29/2011: Threshold Audiogram (Pure tone) $\times 1$		
	01/25/2013: Group audiometric test performed using DOEHRS-HC recorded on DD 2216		
	11/26/2013: Group audiometric test performed using DOEHRS-HC recorded on DD 2216		
	*Reviewer's comment: Corresponding original audiogram reports are not available for review.		
d. Compensation and Pension determination for tinnitus and/or hearing loss	07/31/2018: Reports recurrent tinnitus as a result of military noise exposure.	XXX_0000314, 0000283	314, 283

XXXXX

MEDICAL				
PARAMETERS	FINDINGS	BATES REF	PDF REF	
e. Alternate Causation issue i. IED blasts ii. Concussions iii. TBI injuries iv. ear infections/disorders	As on 03/31/2018: He has history of multiple head traumas in Iraq 2011, 2012, 2013 IED blasts. 06/05/2012: Closed head injury/mild Traumatic Brain Injury (TBI) As on 12/03/2014: States he began to note headaches around time of last concussion As on 03/31/2018: Past medical history is significant for posttraumatic stress disorder and traumatic brain injury As on 04/17/2018: Previous TBI with 7 concussions reported as well (<i>Indirect information</i> <i>noted in history</i>)	XXX_0000266- 0000268,0000022- 0000024,0000025- 0000026,0000070- 0000073,0000352- 0000353	266-268, 22-24, 25-26, 70-73, 352-353	
noted in history)				

PERSONNEL					
PARAMETERS	FINDINGS	BATES REF	PDF REF		
a. Entrance audiogram, DD Form 2808 (Report of Medical Examination)	*Reviewer's comment: From the available "Military Processing Record" dated 12/08/1987, we have evidence of patient's personnel details only; details related to entrance audiogram, and report of medical examination are not available for review.	XXX_C0000002 - XXX_C0000007	681-686		
b. DD214(s) i. Branch ii. Dates of service iii. Discharge status (honorable, etc.)	As on 06/24/2015 - Certificate of Release or Discharge Form Active Duty: Branch: Army/RA Dates of service: Immediate reenlistments this period – 10/19/2006-12/20/2007, 12/21/2007- 06/18/2013/Soldier presented us flag/Served in a designated imminent danger pay area/Service in Iraq 05/21/2011-11/09/2011/Service in Iraq 01/10/2010-09/30/2010/Member has completed first full term of service. Record of service: Date entered AD this period: 10/19/2006 Separation date this period: 08/09/2015 *Reviewer's comment: The patient's first enlistment on 12/12/1987 at IOWA.	XXX_C0000222 - XXX_C0000223	901-902		

	PERSONNEL					
PARAMETERS	FINDINGS	BATES REF	PDF REF			
	Discharge status: Type of separation: Retirement Character of service: Honorable - Iraq Campaign Medal With Three Campaign Stars/Army Commendation Medal (6th award)/Army Achievement Medal (5th award)/Meritorious Unit Commendation (2nd award)/Army Good Conduct Medal (3rd award)/National Defense Service Medal With Bronze Service Star/Global War On Terrorism/expeditionary medal/global war on terrorism service medal/Korea defense service medal/Humanitarian service medal/Noncommissioned officer professional development ribbon (2nd award)/Army service ribbon//overseas service ribbon (3rd award)/Combat action badge/Dathfinder badge/Parachutist badge/Driver and mechanic badge with driver-wheeled vehicle(s) clasp.					
c. Enlisted Record Brief (ERB) i. Duty stations, with start and end date	Narrative reason for separation: Disability, permanent (Enhanced) Duty Station: XXXXX, IA Start date: 12/12/1987 End date (Per available records): 11/10/1994 *Reviewer's comment: Enlisted Record Brief dated 1987 until 01/2006 are not available. Hence the included details from the "Enlistment/Reenlistment document" are retained. Duty Station: XXXXX, IL Start date: 02/27/2006 End date: Not available Duty Station: Des Plaines, IL (Service of 3 years and 9 weeks) Start date: 10/19/2006 (Included per enlistment/reenlistment document) End date: Not available Duty Station: Fort (FT) Sill (Included per enlisted	XXX_C0000008 - XXX_C0000010, XXX_C0000029 - XXX_C0000030, XXX_C0000031 - XXX_C0000035, 0000054 - 0000059, XXX_C0000092 - XXX_C0000093, 0000118 - 0000126, 0000135 - 0000141, 0000200 - 0000205 XXX_C0000222 - XXX_C0000222 - XXX_C0000223	687-689, 708- 714, 733-738, 771-772, 797- 805, 814-820, 879-884, 901- 902, 1339, 1303			
	included details from the "Enlistment/Reenlistment document" are retained. Duty Station: XXXXX, IL Start date: 02/27/2006 End date: Not available Duty Station: Des Plaines, IL (Service of 3 years and 9 weeks) Start date: 10/19/2006 (Included per enlistment/reenlistment document) End date: Not available	0000054 - 0000059, XXX_C0000092 - XXX_C0000093, 0000118 - 0000126, 0000135 - 0000141, 0000200 - 0000205 XXX_C0000222 -				

	PERSONNEL		
PARAMETERS	FINDINGS	BATES REF	PDF REF
	Start date: 10/19/2006		
	End date: Not available		
	* <i>Reviewer's comment: We noted 2 different city</i> <i>and state for the duty date 10/19/2006, hence we</i>		
	have presented the details as such from ERB and		
	Enlistment/Reenlistment document.		
	Linisinenii teeniisinenii uocuntenii.		
	Duty Station: XXXXX), APO, AP		
	Start date: 01/03/2007		
	End date: 12/30/2007		
	Duty Station: FT Campbell (US)		
	Start date: 02/01/2008		
	End date: 09/16/2009		
	Duty Station: Special Operation Task Forces-		
	North (SOTF-N) (IZ) Start date: 01/10/2010		
	End date: 09/30/2010		
	End date: 05/30/2010		
	Duty Station: XXXXX		
	Start date: 10/01/2010		
	End date: Not available		
	Duty Station: FT Bragg (US)		
	Start date: 04/15/2011		
	End date: Unknown		
	Duty station: Unknown (IZ)		
	Start date: 05/21/2011		
	End date: 11/09/2011		
	Duty Station: FT Bragg (US)		
	Start date: 11/10/2011		
d Military Or martin	End date: 05/27/2015	VVV C0000000	1064 697 690
d. Military Occupation Specialties	10/19/2006: RA trainee	XXX_C0000008 - XXX_C0000010,	1064, 687-689, 708-714, 733-
Speciation	01/03/2007 – 12/30/2007: Human Resources	XXX_C0000029 -	738, 771-772,
	Sergeant (SGT)	XXX_C0000030,	797-805, 814-
	bergeant (bO1)	XXX_C0000031 -	820, 879-884,
	02/01/2008: Human Resources Specialist	XXX_C0000035,	901-902, 1339,
	var ou avor, munian resources operansi	0000054 - 0000059,	1303

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	PERSONNEL				
PARAMETERS	FINDINGS	BATES REF	PDF REF		
	02/13/2008: Human Resources SGT	XXX_C0000092 - XXX_C0000093, 0000118 - 0000126,			
	02/01/2009: Rear Detachment S1 Non-commission Officer in Charge (NCOIC)	0000135 - 0000141, 0000200 - 0000205			
	09/16/2009: Human Resources SGT	XXX_C0000222 - XXX_C0000223			
	01/10/2010 - 09/30/2010: Human Resources (HR) Non-Commissioned Officer (NCO) Forward				
	10/01/2010 – 02/29/2012: Human Resources SGT				
	12/05/2012 – 10/22/2013: Senior (SR) Human Resources SGT				
	04/14/2014 - 10/29/2014: Human Resources SGT				
	05/27/2015: Known Losses				

Patient History

Past Medical History: Myopia, Astigmatism, Strabismus, Optic nerve hypoplasia

Past Surgical History: Hernia repair in 1991

Family History: Mother – Lupus; Cancer – Lung; Congestive heart failure; hyperlipidemia; thyroid disease

Social History: Chewing tobacco since 1982, currently <1 can/day. Alcohol: Occasional (*As on* 03/17/2016)

Allergy: Penicillin

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Missing Medical Records:

What Records are Needed	Hospital/Medic al Provider	Date/Time Period	Why we need the records/Bills	Is Record Missing Confirmatory or Probable?	Hint/Clue that records are missing
Audiometry tests	Multiple Providers	10/24/2006 - 11/26/2013	To know the hearing functions of the patient	Confirmatory	We note audiometry tests were performed from 10/24/2006 to 11/26/2013.
Type of ear plug used	Unknown	10/24/2006 - 11/26/2013	To know the manufacturer of ear plug used	Confirmatory	We note earplug was used.

Detailed Chronology

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
12/12/1987	XXXXX of the United States	Enlistment/Reenlistment Document:	XXX_C00000 08 -	687-689
		Date of enlistment: 12/12/1987	XXX_C00000 10	
		Grade: E-1		
		Place of enlistment: Des Moines Meps, IA		
08/31/1988	XXXXX	Active duty report:	XXX_C00000 26 -	705-706
		Grade: PV1	XXX_C00000 27	
		Effective sate of entry on active duty: 06/07/1988		
		Date tour of duty terminated: 08/31/1988		
08/29/1989	XXXXX of the United States	Enlistment/Reenlistment Document:	XXX_C00000 29 -	708-714
		Date of enlistment: 08/29/1989	XXX_C00000 30	
		Grade: E-1	XXX_C00000	
		Place of enlistment: Des Moines Meps, IA	31 - XXX_C00000	
11/10/1994	XXXXX of the	Enlistment/Reenlistment Document:	35 XXX_C00000	733-738
11/10/1994	United States	Emisthen/Accuistment Document.	54 -	135-138

XXXXX

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF	
		Date of enlistment: 11/10/1994	XXX_C00000		
		~	59		
		Grade: E-5			
0 - 10 - 11 - 0		Place of enlistment: Des Moines Meps, IA			
06/06/1996	XXXXX	Certificate of release or discharge from active duty:	XXX_C00000 65	744	
		Type of separation: Release form active duty			
		Narrative reason for separation: Weight control failure			
		* <i>Reviewer's comment: Interim medical records from</i>			
		06/06/1996 - 02/27/2006 are reviewed and it is non-			
		relevant to 3M Ear Plug case review, hence it is			
		combined at the bottom of the chronology and not			
		elaborated.			
02/27/2006	XXXXX of the	Enlistment/Reenlistment Document:	XXX_C00000	771-772	
	United States		92 -		
		Date of enlistment: 02/27/2006	XXX_C00000 93		
		Grade: E-4			
		Place of enlistment: Northside, IL			
		*Reviewer's comment: Interim medical records from			
		02/27/2006 – 10/19/2006 are reviewed and it is non-			
		relevant to 3M Ear Plug case review, hence it is			
		combined at the bottom of the chronology and not			
		elaborated.			
10/19/2006	XXXXX of the	Enlistment/Reenlistment Document:	XXX_C00001	797-805	
	United States	Date of enlistment: 10/19/2006	18 - XXX_C00001 26		
		Grade: E-4			
		Place of enlistment: Des Plaines, IL			
10/24/2006	XXXXX	Office visit for Audiology:	XXX_000010	105	
	Community		5		
	Hospital	Audiology: Give hearing test, fit earplugs results of			
		hearing tests, education.			
	XXXXX				
		Assessment and plan:			
		• Visit for military services physical (Accession			
		examination)			

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
		Patient counseling		
		Procedures:		
		Ear protector attenuation measurements $\times 1$		
		Audiometry group testing \times 1		
		Patient education not otherwise classified, non-		
		Physician Provider, group/session $\times 1$		
		*Reviewer's comment: Interim medical records during		
		<i>the period of 10/24/2006 - 12/21/2007 are reviewed and</i>		
		it is non-relevant to 3M Ear Plug case review, hence it is		
		combined at the bottom of the chronology and not		
		elaborated.		
12/21/2007	XXXXX of the	Enlistment/Reenlistment Document:	XXX_C00001	814-820
	United States		35 - XXX C00001	
		Date of enlistment: 12/21/2007	41	
		Pay grade: E6		
		Place of enlistment: APO, AP		
		*Reviewer's comment: Interim medical records from		
		12/21/2007 – 08/19/2008 are reviewed and it is non-		
		relevant to 3M Ear Plug case review, hence it is		
		combined at the bottom of the chronology and not		
		elaborated.		
08/19/2008	XXXXX Army	Visit for military services physical examination	XXX_000012	128
	Community Hospital	(Hearing conservation):	8	
	-	Assessment and plan:		
	XXXXX	Visit for military services physical (Occupational		
		examination)		
		Procedures:		
		• Threshold audiogram (Pure tone) $\times 1$		
		 Audiometry group testing × 1 		
		 Special Dr. Services analysis of computerized data × 1 		
		* <i>Reviewer's comment: Interim medical records from</i>		
		08/19/2008 - 12/03/2010 are reviewed and it is non-		
		relevant to 3M Ear Plug case review, hence it is		
		combined at the bottom of the chronology and not		
		elaborated.		
				1

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
	Community Hospital	Reason for appointment: Special Force (SF)		
	XXXXX	 Assessment and plan: Assessment of patient condition work-related (Armed Forces Medical Examination) Procedures: Threshold Audiogram (Pure tone) × 1 Special Dr. Services Analysis of computerized data × 1 Ear protector attenuation measurements × 1 Ear mold/Insert, not disposable any type × 1 		
		 Patient instructions: Education and instructions Otoscopy Patient education – Injury prevention Personal protective equipment ear plugs 		
		Visit for ears/ hearing exam (Other examination of ears and hearing, otoscopic exam done) *Reviewer's comment: Otoscopic examination report is		
		not available for review.		
11/15/2011	XXXXX Medical Center	Follow-up visit for hearing test: Patient presents for hearing test.	XXX_000000 3	3
	XXXXX	Both tympanic membranes were examined with an otoscope prior to testing		
		Tests: Group audiometric test performed using Defense Occupational and Environmental Health Readiness System – Hearing Conservation (DOEHRS-HC) recorded on DD 2216 (<i>Records not available for review</i>)		
		 Assessment and plan: Visit for ears/hearing exam (Other examination of ears and hearing otoscopic exam done) Visit for occupational health/Fitness exam (Post-deployment examination) 		

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
		Procedures:		
		• Hearing service, miscellaneous × 1		
		• Audiometry group testing × 1		
11/21/2011	XXXXX	Follow-up visit for traumatic brain injury screening	XXX_000000	4
	Medical Center	exam:	4	
	XXXXX, RN	Reason for appointment: Negative Traumatic Brain		
		Injury (TBI) screen		
		Assessment and plan:		
		Visit for: Screening exam neurological disorders		
		traumatic brain injury (Special screening for TBI,		
		Negative findings): Soldier is returning from		
		deployment, has no symptoms of TBI, not experiencing		
		neurological difficulties.		
		• While deployed, did the Soldier have a head		
		injury from explosion		
		(Blast/IED/grenade/mortar/artillery/land mine), projectile (bullet, fragment), vehicular (any type		
		including plane) or fall? - No		
		 Did any head injury received while deployed 		
		result in any of the following: Being		
		dazed/confused, not remembering the injury,		
		Loss of Conscious (LOC), concussion		
		symptoms? No		
		• Are any of the symptoms listed in question 2		
		continuing without letup through today? - No		
		Examination:		
		Head: No abnormality, normocephalic Neurological: Appearance is normal, behavior		
		demonstrated no abnormalities, attitude was normal,		
		mood was normal, attention span was not decreased.		
		Cognition and other higher cerebral functions grossly		
		intact without aphasia. Cranial Nerves (CNs), motor,		
		coordination, gait and stance grossly intact, not		
		decreased.		
		Discussed TBI, the side effects, patient indicates		
		understanding, all of patient's concerns addressed		

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DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
		Assess patient condition work-related occupational		
		disease (Post-deployment examination).		
12/29/2011	XXXXX Medical Center	Follow-up visit for physical examination:	XXX_000001 1-0000012	11-12
		Reason for appointment: Over 40/Special Forces		
	XXXXX	Assessment and Selection Course (SFAS)/Survival,		
		Evasion, Resistance, and Escape (SERE)		
		Assessment and plan:		
		Visit for military services physical (Occupational examination)		
		Procedures:		
		• Screening test of visual acuity, quantitative, bilateral × 1		
		• Threshold audiogram (Pure tone) × 1		
		• Electrocardiogram × 1		
06/05/2012	XXXXX	Emergency Room (ER) visit for head injury: (Poorly	XXX_000002	22-24,
	Medical Center	scanned report)	2-0000024, 0000025-	25-26, 27-28
	XXXXX	Complaints of jump injury.	0000026, 0000027-	
		Medication: Naprosyn	0000028	
		Impression: Closed head injury		
		Disposition:		
		Related documents: After care instructions		
06/05/2012	XXXXX	ER related records:	XXX_000002	20, 21,
	Medical Center		0,0000021, 0000577,	577, 627, 628
		Triage record, right hand X-ray report, orders,	0000627,	020
		medication sheets,	0000628	
06/07/2012	XXXXX Clinic	ER follow-up visit for minor head injury:	XXX_000038 5-0000386	385-386
	XXXXX, M.D.	Patient presents for follow-up from ER for minor head		
		injury. Signs and symptoms generally resolved except		
		for lingering mild headache however, SM wants to		
		perform ABO tomorrow in support of inbound soldiers.		
		Assessment and plan:		
		Head injury - Directed SM to continue treatment plan		

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DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
		per ER, emphasized importance of cognitive rest, and		
		repeatedly and emphatically told him that jumping tomm		
		was a bad idea with potentially serious consequences,		
		although I couldn't give him hard numbers. SM		
		verbalized understanding but would not commit to not		
		jumping. OIC was notified of the risks with SM		
		jumping.		
01/25/2013	XXXXX Medical Center	Follow-up visit for hearing test:	XXX_000003 5-0000036	35-36
		Patient presents for hearing test.		
	XXXXX			
		Tests: Group audiometric test performed using		
		DOEHRS-HC recorded on DD 2216 (Records not		
		available for review)		
		Assessment and plan:		
		• Visit for ears/hearing exam (Other examination		
		of ears and hearing, otoscopic exam not		
		performed)		
		Visit for occupational health/Fitness exam		
		(Occupational examination)		
		Procedures: Audiometry group testing $\times 1$		
05/14/2013	XXXXX Clinic	Follow-up visit for back and neck pain:	XXX_000039 3-0000396,	393-396, 579-581
	XXXXX	Reason for appointment: Back neck, right side	0000579- 0000581	
		Pain scale: 7/10 severe		
		Patient complains of neck and back pain lasting five		
		days. Patient states neck feels tight and stiff. Physical		
		Therapist (PT) says pain increase upon motion of his		
		head. Patient says he has been using heat pads and		
		taking Naproxen for relief, but it has not been working.		
		Pain assessment: Dull pain that radiates worsens when		
		he moves his head.		
		Pain severity 7/10.		
		Cervical spine series:		
		Impression: Spondylotic changes of the cervical spine		

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
		with no evidence of acute osseous injury.		
		There is going V new series		
		Thoracic spine X-ray series: Impression: No acute or recent abnormality		
		demonstrated.		
		Assessment and plan:		
		Neck pain: Plain films negative for acute fracture from		
		ABN OP. Issued profile, started patient on Medrol dose		
		pack, refilled Non-Steroidal Anti-inflammatory Drugs		
		(NSAIDs) and muscle relaxer for relief of acute neck		
		strain. If radiculopathy continues after currently treatment regimen will consider MRI to rule out disc		
		pathology. Return to clinic as needed.		
		patiology. Retain to chine as needed.		
		Visit for: screening exam: Respect-mil negative.		
06/19/2013	XXXXX of the	Enlistment/Reenlistment Document:	XXX_C00002	879-884
	United States		00 - XXX_C00002	
		Date of enlistment: 06/19/2013	05	
		Grade: E6		
		Place of enlistment: FT. Bragg, NC		
07/11/2013	XXXXX Health Clinic	MRI cervical spine without contrast:	XXX_000057 9	579
		Reason for order: Neck pain at approximately C6-C7		
	XXXXX	with radiculopathy and weakness at same.		
		Improgram I ower lumber dise esteenbyte disease		
		Impression: Lower lumbar disc osteophyte disease worst at C6-C7 where there is a right paracentral disc		
		protrusion causing moderate to severe right sided spinal		
		canal stenosis and mass effect on the cord. Moderate		
		bilateral neural foraminal narrowing is also seen at that		
		level.		
11/26/2013	XXXXX Army	Follow-up visit for annual hearing examination:	XXX_000003	37
	Medical Center	Deting and for bound of	7	
	XXXXX	Patient presents for hearing test		
		Patient was given a fit tested set of earplugs and case.		
		Both tympanic membranes were examined with an		
		otoscope prior to testing		

DOB: YYYYY

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
		Tests: Group audiometric test performed using DOEHRS-HC recorded on DD 2216 (<i>Records not available for review</i>)		
		Assessment and plan: Visit for examination of subpopulation (Occupational examination)		
		Visit for ears/hearing exam (Other examination of ears and hearing, otoscopic exam done) Procedures:		
		 Audiometric group testing × 1 Hearing service, miscellaneous × 1 		
01/09/2014	XXXXX Clinic	Follow-up visit for neck and hip pain:	XXX_000039 7-0000401	397-401
	XXXXX	Review of systems: Otolaryngeal: No earache		
		Assessment and plan: Neck pain: Disc protrusion with thecal sac impingement		
		and foraminal stenosis. Referred to patient to pain clinic and Physical Therapy. Gave patient Neurontin, Prednisone dose <i>pack (must be pak)</i> and NSAIDs.		
		Visit for screening for mental or developmental disorders		
		Follow-up as need with PCM.		
		Interim medical records during the period of 01/09/2014-12/03/2014 are reviewed and it is non-relevant to 3M Ear Plug case review, hence it is combined at the bottom of the chronology and not elaborated.		
12/03/2014	XXXXX Medical Center	Follow-up visit for headache:	XXX_000007 0-0000073	70-73
	XXXXX, DO	Injury dates: He is in MEF for ortho injuries. 06/12- hard landing, positive for loss of conscious, +A/C for up to hour		
	XXXXX, PA-C	Severity rating of traumatic brain injury (TBI) at time of injury: Mild		

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		Have symptoms stabilized? Yes, but symptoms wax and wane		
		Report course of symptoms to include frequency: Headaches		
		Describe whether symptoms have an effect on routine daily activities or employment: Yes, if severe		
		Headache number of headaches per month: 1-2 a week		
		Duration: Can last up to 1-1.5 hours		
		Severity: 1-2 a month can become severe		
		Current treatment: Acetaminophen		
		Response to treatment: Lessens pain		
		Side effects of current treatment: Stomach pain		
		Do headaches affect usual daily living? Only when he has severe headache with severe headache will become photosensitive, denies NVD; states he began to note headaches around time of last concussion.		
		No hearing loss, no hyperacusis, and no tinnitus		
		Physical examination: Ears: No hearing abnormalities		
		Assessment and plan: Feared medical condition not demonstrated: The patient does not have poor side effects related to concussion		
		He did not note headache as a concern on any of his vital signs.		
		2 days after his concussion on 05 June 2012, he had only a mild headache, which had resolved by his next review 11/05/2012 where he denied headache. Notes on 06/10/2014 and $01/31/2014$ for the patient was having		

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		injuries related to his job directly addressed did not include headache but did include issues related to his neck and hip.		
		These headaches have not prevented him from going to work, and not pressed him enough to be addressed by Medical Provider and are not treated with anything other than occasional over the counter medications.		
		In accordance with AR 40-501 these headaches are not severe, disabling, frequent or incapacitating where they would prevent the patient from performing his normal job duties.		
		Today he is offered a trial of Maxalt 10mg for use at the onset of any acute headache he may have. Headaches he is experiencing are likely influenced by his neck pain and poor sleep.		
		He will be followed once more to review this medication and then released completely from clinic.		
		Any further needs or issues related to non-headache pain will be addressed by the service members PCM and specialty providers. Patient understands and agrees with above. Disposition sheet given. Procedures: Psychometric Neurobehavioral status exam $\times 1$		
		History of concussion		
		Assessment of patient condition work-related (fitness for duty examination)		
		Tension headache		
04/27/2015	XXXXX	Orders: Retirement/Separation date: 07/20/2015	XXX_C00002 17 - XXX_C00002 19	896-898
		Retirement type and allotment code: Permanent disability/10		

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
		Percentage of disability: 60		
		Disability is based on injury or disease received in the		
		line of duty as a direct result of armed conflict or caused		
		by an instrumentality of war and incurred in the line of		
		duty during a period of war as defined by law: Yes		
		Disability resulted from a combat related injury as		
		defined in 26 USC 104: Yes		
		Date initially entered military: 03/29/1993		
10/19/2006		Enlisted Record Brief:		1339,
-				1303 Comr
05/27/2015		*Reviewer's comment: We have included "Duty stations		Conve
		with start and end date, and Occupation Specialties from		
		Enlisted Record Brief.		
		Dester Stations, Faut (PT) Sill DA (minute		
		Duty Station: Fort (FT) Sill – RA trainee. Start date: 10/19/2006.		
		Start date: 10/19/2006.		
		Duty Station: CP Casey (KS) - Human Resources		
		Sergeant (SGT)		
		Start date: 01/03/2007		
		End date: 12/30/2007		
		Duty Station: FT Campbell (US)		
		Start date: 02/01/2008		
		End date: 09/16/2009		
		• 02/01/2008: Human Resources Specialist		
		• 02/13/2008: Human Resources SGT		
		• 02/01/2009: Rear Detachment S1 Non-		
		commission Officer in Charge (NCOIC)		
		• 09/16/2009: Human Resources SGT		
		Duty Station: Special Operation Task Forces- North		
		(SOTF-N) (IZ) - Human Resources (HR) Non-		
		Commissioned Officer (NCO) Forward		
		Start date: 01/10/2010		
		End date: 09/30/2010		
		Derte Stations ET Grandvill (UG)		
		Duty Station: FT Campbell (US)		1

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DAIL	TROVIDER			REF
		Start date: 10/01/2010 Duty Station: FT Bragg (US) Start date: 04/15/2011 Duty station: IZ Start date: 05/21/2011 End date: 11/09/2011 Duty Station: FT Bragg (US) Start date: 11/10/2011 End date: 05/27/2015		
		 10/01/2010 – 02/29/2012: Human Resources SGT 12/05/2012 – 10/22/2013: Senior (SR) Human Resources SGT 04/14/2014 - 10/29/2014: Human Resources SGT 05/27/2015: Known Losses 		
06/24/2015	XXXXX	Certificate of Release or Discharge Form Active Duty: Dates Branch: Army/RA Dates of service: Immediate reenlistments this period – 10/19/2006-12/20/2007, 12/21/2007-06/18/2013/Soldier presented us flag/Served in a designated imminent danger pay area/Service in Iraq 05/21/2011- 11/09/2011/Service in Iraq 01/10/2010- 09/30/2010/Member has completed first full term of service. Record of service: Date entered AD this period: 10/19/2006 Separation date this period: 08/09/2015 *Reviewer's comment: The patient's first enlistment on 12/12/1987 at IOWA. Discharge status:	XXX_C00002 22 - XXX_C00002 23	901-902
		Type of separation: Retirement Character of service: Honorable - Iraq Campaign		

DATE	PROVIDER	OCCURRENCE/TREATMENT	BATES REF	PDF REF
		Medal With Three Campaign Stars/Army		
		Commendation Medal (6th award)/Army Achievement Medal (5th award)/Meritorious Unit Commendation		
		(2nd award)/Army Good Conduct Medal (3rd		
		award)/National Defense Service Medal With Bronze		
		Service Star/Global War On Terrorism/expeditionary		
		medal/global war on terrorism service medal/Korea		
		defense service medal/Humanitarian service medal/Noncommissioned officer professional		
		development ribbon (2nd award)/Army service		
		ribbon//overseas service ribbon (3rd award)/Combat		
		action badge/pathfinder badge/Parachutist badge/Driver		
		and mechanic badge with driver-wheeled vehicle(s) clasp.		
		clasp.		
		Narrative reason for separation: Disability, permanent		
		(Enhanced)		
		*Reviewer's comment: Interim medical records from		
		06/24/2015 to 06/22/2016 are not relevant to C3 ear		
		plug case review, hence it is combined at the bottom of		
06/22/2016	XXXXX	the chronology and not elaborated. Caregiver program support note:	XXX 000020	207-211
00/22/2010	Medical Center	Caregiver program support note:	XXX_000020 7-0000211	207-211
	Wiedical Center	Veteran Military Service Army – 2015	/ 0000211	
	XXXXX,	veteral wintary bervice miny 2015		
	LCSW	Number of deployments: Three; Two – Iraq, one-		
		Afghanistan		
		*Reviewer's comment: Interim medical records from		
		06/22/2016 to 03/31/2018 are not relevant to C3 ear		
		plug case review, hence it is combined at the bottom of		
		the chronology and not elaborated.		
03/31/2018	XXXXX	Neurology consultation for headaches:	XXX_000026 6-0000268	266-268
	Medical Center	Patient comes to clinic for evaluation of headaches. He	0-000208	
	XXXXX, M.D.	reports that he has been having headaches for 7 years.		
	AAAAA, M.D.	He has history of multiple head traumas in Iraq 2011,		
		2012, 2013 Improvised Explosive Device (IED) blasts.		
		He is currently having 3-4 per week. The pain starts on		
		the top of the head and radiates to the front and neck. He		
		describes the pain as sharp. He will have nausea and		
		light sensitivity occasionally with it.		
		He takes Excedrin which helps the pain		

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		CT head no significant abnormality.		
		Assessment and plan:		
		Patient with history of head trauma who is having neck		
		pain and headaches. Based on exam and history he has		
		cervicogenic headaches +right TMJ myofascial syndromes.		
		• Will get MRI head and C spine		
		• Will start PT for neck pain		
		• Also start Gabapentin in 300 mg		
		Chiropractic care for right Temporomandibular Joint (TMJ) myofascial syndrome		
		Joint (1101) involascial syndrome		
		Return to clinic in 2 months.		
04/17/2018	XXXXX	Cervical spine evaluation:	XXX_000035	352-353
	Medical Center		2-0000353	
	VVVVV DDT	Previous TBI with 7 concussions reported as well.		
	XXXXX, RPT	*Reviewer's comment: Only significant details included.		
04/27/2018	XXXXX	MRI cervical spine:	XXX_000027	270-271
	Medical Center		0-0000271	
		History: Neck pain radiating to the head bilaterally		
	XXXXX, SNB			
		 Impression: Degenerative loss of signal has occurred in all 		
		 Degenerative loss of signal has occurred in all cervical discs 		
		 An anterior fusion between C4 and C6 appears 		
		satisfactory on MR. Radiographic evaluation is		
		recommended		
		• Osteophyte at C3-C4 comprises the right lateral		
04/07/0010	VVVVV	recess in the right foramen.	XXX 00007	071 070
04/27/2018	XXXXX Medical Center	MRI brain with and without contrast:	XXX_000027 1-0000272,	271-272, 264
	wieuicai Ceiller	Indication: Headaches	0000264	
	XXXXX, M.D.			
		Impression:		
	XXXXX, Staff	Incidental uncomplicated developmental left		
	Radiologist	cerebellar small venous angioma. Otherwise		
		normal pre and postcontrast enhanced MRI of		

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		the brainAsymmetric, right greater than left, elongated shape of orbital globes		
07/31/2018	XXXXX Medical Center XXXXX, Ph.D. Audiology Service	Audiology note: Patient requested an Audiology Compensation/Pension examination.	XXX_000031 3-0000314	313-314
07/31/2018	XXXXX Medical Center	Hearing loss and tinnitus disability benefits questionnaire:	XXX_000031 4,0000283	314, 283
	XXXXX, Ph. D Audiology Service	Tinnitus exam: Patient reports recurrent tinnitus *Reviewer's comment: The patient reports recurrent tinnitus; however, corresponding medical records are not available to know the exact start date of the condition. Date and circumstances of onset of tinnitus: Prior to treatment Etiology of tinnitus: At least as likely as not (50% probability or greater) caused by or a result of military noise exposure Rationale: Patient reported tinnitus on his Med Board exam prior to discharge Functional impact of tinnitus: Patient's tinnitus does not impact ordinary conditions of daily life including ability to work.		
01/18/2019	XXXXX Medical Center Pamela Triplett, LCSW	Care giver program support note: Reason for participation in the Caregiver Program Caregiver Program (CG) states the veteran is 100% Service-Connected (SC) and unemployable. Service-connected condition: Tinnitus: Rate - 10%	XXX_000032 3-0000324, 0000322	323-324, 322
02/04/2019	XXXXX Medical Center	Caregiver program support note:	XXX_000033 6-0000341,	336-341, 331

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	XXXXX, LCSW	Veteran Military service – Army Explosive Ordnance Disposal (EOD): 10/19/2009 08/29/1989 Released from Active Duty (RAD): 08/09/2015 09/12/1994 Where did they serve? 1 to Afghanistan and two to Iraq Number of deployments - 3	0000331	
		Service-connected condition: Tinnitus. Rate - 10%		
02/12/2019	XXXXX Medical Center XXXXX, LCSW	 Caregiver program clinical eligibility assessment: Patient or Service member (Undergoing medical discharge) incur or aggravate a serious injury including traumatic brain injury, psychological trauma or other mental disorder, in the line of duty, on or after September 11, 2001: No Due to the serious injury(ies) sustained by the patient or Service member, (Caregiver) is required to assist with the management of personal care functions required in everyday living: Yes, due to the following: Inability to perform an Activity of Daily Living Need for supervision or protection based on symptom or residuals of neurological or other impairment or injury including traumatic brain injury. A 100% service-connected disability for a serious injury incurred or aggravated on or after Sept enter 11, 2001 for which the patient receives a special monthly compensation which includes an aid and attendance allowance. Based on this serious injury, will the Veteran or Service member require the assistance of another person (Caregiver) to be able to manage personal care functions required in everyday living for a continuous period of a minimum of six months: Yes *Reviewer's comment: Medical records further to 02/12/2019 are not available to know the health condition of the patient.	XXX_000034 4-0000345	344-345

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		corneal refractive surgery, Ablation profile, Post op		114-117,
		visit, Inquiry and counseling for marital conflict,		118-119,
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		examination, Chest X-Ray Reports, Visit for		120, 121-
		multisystem exam for Special Forces Selection, Weight		122, 123-
		management, patient education, Jaw and facial pain,		124, 125-
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		vision testing, Ranger school physical, Phase 1 Special		129-130,
		Forces Assessment and Selection Course (SFAS)		573, 131-
		physical, X-Ray Reports, Weight Records/Vital Signs,		132, 373-
		Serum total cholesterol elevated, Assessment, Knee joint		374, 133-
		pain, Exposed to venereal disease, Ankle skin brace,		135, 136-
		Ankle pain, Left ankle X-Ray Reports, Left foot X-Ray		137, 138-
		Reports, Leg pain, hypertension, , MRI of left knee,		139, 140-
		Acute meniscal left knee, Consultation Report, Physical		141, 142-
		Therapy Records, Erectile dysfunction, obesity, Lumbar		144, 375-
		spine X-Ray Reports, MRI lumbar spine, Left ulnar		376, 574,
		neuropathy, Cervicalgia, Physical Therapy Records,		108-111,
		MRI left hip arthrogram, Diagnostic test-others, Hip		145-146,
		pain, Left hip pain, trochanteric bursitis, Procedure		377-378,
		Report, , , Fluoroscopic guidance needle localization for		622-624,
		biopsy or aspiration report, Pelvis X-Ray Reports, CT,		625- 626, 13- 14,
		Medication Sheets, Labs, Left heel X-Ray Reports,		15-14, 15-17,
		Bilateral shoulder X-Ray Reports, History and Physical,		13-17, 18-19,
		Operative report for left hip acetabuloplasty, labral tear,		380- 382,
		Discharge Instructions, Post-traumatic stress disorder,		575, 576,
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		pain, Psychotherapy, Physical Therapy Records for left		578, 387-
		shoulder pain, Correspondence, Telephone		388, 389-
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		Therapy Records discharge note, MRI of left shoulder,		638, 152-
		Major depressive disorder, Left shoulder rotator cuff		155, 639,
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		depression, Physical Therapy Records for neck pain,		525, 30-
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